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Climate mechanism for stronger typhoons in a warmer world

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ABSTRACT

Violent typhoons continue to have catastrophic impacts on economies and 8 welfare but how they are responding to global warming has yet to be fully 9 understood. Here we use an empirical framework to explain physically why 10 observations support a tight connection between increasing ocean warmth and 11 the increasing intensity of super typhoons in the western North Pacific. We 12 show that the energy needed for deep convection is on the rise with greater 13 heat and moisture in the lower tropical troposphere but that this energy re-14 mains untapped when air pressure is high. Accordingly, tropical cyclone for-15 mation is becoming less common but those that do form are likely to reach ex-16 treme intensities from the discharge of stored energy. These thermodynamic 17 changes to the environment most significantly influence the upper portion of 18 extreme typhoon intensities indicating that super typhoons are likely to be 19 stronger at the expense of overall tropical cyclone occurrences in the western 20 North Pacific. 21

22 1. Introduction

The changing nature of tropical cyclone (TC) climate is an important concern in relation to an-23 thropogenic global warming. In particular, the western North Pacific accounts for about one third 24 of all TCs worldwide (Chan 2005). The recent onslaught of super typhoons makes countries tense 25 from anticipation about future TC activity. Super typhoon Haiyan in 2013 struck the Philippines 26 and destroyed more than a million houses killing 6,300 people (NDRRMC 2014). Even super 27 typhoon Vongfong and Hagupit in 2014 rapidly developed into typhoons with intensities compa-28 rable to Haiyan. Over a million people were evacuated in the Philippines by the threat of Hagupit. 29 People may suspect that global warming has a connection with TCs becoming violent in this ocean 30 basin. Trends in annual TC occurrence rates have been investigated (Webster et al. 2005), but the 31 influence of global warming is difficult to detect against the background of natural variation (Chan 32 2006, 2008). Additionally observed trends can result simply from improvements in the quality of 33 observations (Kossin et al. 2013) and estimated trends depend on the range of years considered 34 (Klotzbach 2006). A long period of record is preferable but there is no guarantee of reduced un-35 certainty. For these reasons TC climate studies have tended toward a reliance on numerical model 36 simulations (Knutson et al. 2010). Yet results from these studies remain inconclusive (IPCC 2012). 37 Here we provide a physical explanation for how extreme TCs in the western North Pacific re-38 spond to global warming and to their large scale environments. New understanding is made pos-39 sible by using an empirical framework with a consistent set of reliable observations (Kang and 40 Elsner 2012a,b). The framework is motivated by the link between TC frequency and intensity 41 (Emanuel 2008; Elsner et al. 2008). By projecting global ocean temperature variation onto a two-42 dimensional continuous frequency-intensity space (Kang and Elsner 2015), we are able to give 43 an integrated assessment of typhoon climate. Section 2 describes the data and framework. Sec-44

tion 3 shows the statistical results and section 4 explains them physically. Section 5 describes
the connection between super typhoons and global ocean warmth. Section 6 provides summary
of the results and a discussion. All the statistics and figures are created using the software R
(www.r-project.org) and are available from rpubs.com/Namyoung/P2015a.

49 2. Methodology

50 *a. Data*

We first organize a set of TC best-tracks from the U.S. Joint Typhoon Warning Center 51 (JTWC, www.usno.navy.mil/NOOC/nmfc-ph/RSS/jtwc/best_tracks) and the Japan Me-52 teorological Agency (JMA, www.jma.go.jp/jma/jma-eng/jma-center/rsmc-hp-pub-eg/ 53 trackarchives.html). More than one TC dataset is available in the western North Pacific. Dif-54 ferent observation procedures by different operational agencies create inconsistencies in the values 55 within the dataset over time. For example, prior studies have tried to find a consensus among the 56 estimated TC intensities from the various agencies (Song et al. 2010; Knapp and Kruk 2010) by 57 matching individual TC events. But this turns out to be a difficult task. 58

Another problem is that analysis results using a particular dataset tend to vary according to the 59 period of data used. A long period is preferred to minimize statistical uncertainty but this comes 60 at the expense of uneven data quality. A compromise is a selection of a useful period that contains 61 as many reliable observations as possible. The longest period of reliable western North Pacific 62 TC data is one that extends back to 1984 (Kang and Elsner 2012b). The data consist of lifetime-63 maximum winds (LMWs) from TCs during June through November (JJASON) over the 30-year 64 period 1984–2013. Other environmental variables such as sea surface temperature (SST), Southern 65 Oscillation Index (SOI), air temperature, specific humidity, and geopotential height come from the 66

National Oceanic and Atmospheric Administration (NOAA) National Centers for Environmental
Prediction (NCEP) reanalysis (www.esrl.noaa.gov/psd/data/gridded). Specifically, we utilize monthly SSTs from Extended Reconstructed Sea Surface Temperature (ERSST) V3b. SOI
comes from the the NOAA Climate Prediction Center (CPC, www.cpc.ncep.noaa.gov/data/
indices/soi).

72 b. Framework

To investigate TC climate variability we first define equally contributing indicators. A schematic 73 diagram for our framework Kang and Elsner (2012a,b) is shown in Figure 1. INT is the annual 74 mean intensity of TCs having lifetime-maximum winds (LMWs) exceeding a threshold quan-75 tile. The lowest threshold quantile (zero empirical probability level—probability of a TC having 76 weaker winds) is set as 17 m s⁻¹. INT can be computed at successively higher thresholds. FRQ is 77 the annual number of TCs above each successive threshold. By definition the variation of FRQ is 78 not affected by the probability level. For example, the FRQ variation of the 50 % strongest portion 79 of TCs is the same as that of the total TCs. INT is on the horizontal axis and FRQ is on the vertical 80 axis. 81

An annual value in the upper-right quadrant represents high values of INT and FRQ, while an annual value in the lower-left quadrant represents low values of INT and FRQ. Thus a positive diagonal line provides an axis that captures the in-phase relationship between INT and FRQ that we denote ACT. ACT can be obtained by principal component analysis and is computed as

$$ACT = \left(\frac{INT - \mu_{INT}}{\sigma_{INT}} + \frac{FRQ - \mu_{FRQ}}{\sigma_{FRQ}}\right) / \sqrt{2},$$
(1)

where INT and FRQ are vectors of annual values. μ and σ denote their respective mean and standard deviations. ACT indicates the in-phase relationship between INT and FRQ, which is

comparable to TC energy as indicated by its high correlation (Kang and Elsner 2012a) with Ac-88 cumulated Cyclone Energy (ACE) (Bell et al. 2000) and Power Dissipation Index (PDI) (Emanuel 89 2005). On the other hand, a value in the upper-left quadrant is characterized by low INT and high 90 FRQ, and a value in the lower-right quadrant shows high INT and low FRQ. Thus a negative diag-91 onal line provides an axis that captures the out-of phase relationship between INT and FRQ. This 92 variability is denoted as EINT, which expresses the efficiency of intensity. Alternatively the nega-93 tive EINT can be understood as the efficiency of frequency. EINT is the other principal component 94 and is computed as 95

$$EINT = \left(\frac{INT - \mu_{INT}}{\sigma_{INT}} - \frac{FRQ - \mu_{FRQ}}{\sigma_{FRQ}}\right) / \sqrt{2}.$$
 (2)

⁹⁶ Owing to the presence of EINT, a continuous two-dimensional variability space is formed, where ⁹⁷ the center is the mean of each standardized set of FRQ and INT values. Now, an annual TC climate ⁹⁸ can be indicated by a single point in the continuous variability space. In this framework, variability ⁹⁹ in any direction can be defined as

$$TCI_{\theta} = INT \cdot \cos \theta + FRQ \cdot \sin \theta, \qquad (3)$$

where TCI denotes a directional variability (θ) away from INT (positive is counterclockwise), which represents the weighted linear combination of FRQ and INT. ACT and EINT are the special cases when θ is +45 and -45, respectively.

3. Correlation Screen

Figure 2 illustrates the empirical framework and the correlation circle for the strongest 10 % (.9 probability level—90% of the TCs have winds weaker than this) of western North Pacific TCs based on 30 years of JJASON observations. The average wind speed for this subset is 67 m s⁻¹ using the JTWC dataset, which nearly matches the super typhoons of category 5 on the Saffir-

Simpson scale (Simpson 1974). The quantile method is useful for dealing with intensity variation 108 of fewer events (Elsner et al. 2008). With an increase in super typhoon intensities, the threshold 109 LMW of the strongest 10 % of TCs increases above 67 m s⁻¹ and INT over the threshold increases. 110 The average wind speed of the strongest 10 % of TCs using the JMA dataset is 49 m s⁻¹. On the 111 other hand, the variation in FRQ is not affected by the probability level by definition. The annual 112 variation in the number of all TCs should match the annual variation in the number of just the top 113 10 % of them. Since this quantile approach controls INT only, the interpretation of the empirical 114 framework centers on the relationship between overall TC occurrences and the intensity of the 115 upper 10 % subset. 116

Circular direction in Fig. 2 represents each TC climate variability, where INT and FRQ have 117 different weights by an angle (see Eq. 3). Correlations are calculated for each directional vari-118 ability of TC climate with global ocean warmth (indicated by global mean SST). Each 30-year 119 (1984–2014) annual value for JJASON is used. The vector of global mean SST is fixed, but a 120 vector of TC climate varies by each angle. Finally, the correlation between TC climate and global 121 ocean warmth is mapped with a loop on the correlation screen. Correlation shows the similarity 122 of this environment to another synthetic environment that global ocean warmth brings about. The 123 purple loop is the correlation using data from JTWC and the green loop is the correlation using 124 data from JMA. The thick orange/red loop is the correlation using composite data from the JTWC 125 and JMA. Center, inner circle and outer circle in gray color indicate the correlation levels of 0, 0.5 126 and 1, respectively. 127

Principal component analysis is used to composite JTWC and JMA observations. FRQ and INT are the principal components which indicates the in-phase relationship between the two observations. FRQ is represented as

$$FRQ = [s(FRQ_{JTWC}) + s(FRQ_{JMA})]/\sqrt{2}, \qquad (4)$$

where the operator 's()' returns standardized values of an input vector as expressed in Eqs. 1 and
Likewise, INT is represented as

$$INT = [s(INT_{JTWC}) + s(INT_{JMA})]/\sqrt{2}.$$
(5)

The highest correlation (r = +0.75 [0.53, 0.87] 95 % CI) occurs in the EINT direction (red dot). The statistically significant correlation range is indicated in red. As defined, higher INT can occur in years with higher EINT and higher ACT, and lower FRQ in years with higher EINT and lower ACT. However, since EINT is orthogonal to ACT it represents the out-of-phase relationship between INT and FRQ. Thus, the strong correlation along the EINT direction makes it clear that global ocean warmth influences the *collinearity* between INT and FRQ rather than influencing ACT alone or either INT or FRQ individually.

4. TC Environment

Increasing EINT (fewer TCs, but stronger super typhoons) is an empirical result that arises from 141 synchronous changes to physical factors under the influence of global warming (see Fig. 2). A 142 decrease in FRQ together with an increase in INT results from an increase in saturation deficit 143 occurring in the tropical free atmosphere in concert with a decrease in upward mass flux. Fig-144 ure 3 shows that the climate dynamics for the global tropics explained by Kang and Elsner (2015) 145 is also valid and even more apparent in the tropical region of the western North Pacific. Here, 146 specific humidity and geopotential height as well as air temperature are used for the plot with 147 data coming from the NCEP reanalysis. To find a clear response of the regional environment to 148 global ocean warmth, ENSO influence (indicated by a negative SOI value) is statistically removed 149

from variables and the partial correlation is calculated. Then we determine western North Pa-150 cific TC environment at the same ENSO conditions (statistically) when global ocean warmth is 151 increasing. In globally warm years regional SSTs across the tropical western North Pacific are 152 also above normal. The increase in regional SST itself is a positive environmental factor for ACT. 153 As warmth increases, the lower troposphere in this region gains additional moisture following the 154 Clausius-Clapeyron relationship. However, aloft in the free atmosphere the moisture gain is less 155 (Held and Soden 2006). This is different from temperature changes in the middle and upper tro-156 posphere, which increase significantly by convection following a moist adiabat (Chou et al. 2013). 157 Though the temperature is also influenced by dynamic and radiative processes, the major change 158 is driven by the moist convection. The vertical profile of correlation confirms that moist static en-159 ergy increases in the western North Pacific tropics $(100^{\circ}\text{E}-180^{\circ}, 0^{\circ}-30^{\circ}\text{N})$ are more pronounced 160 in the lower troposphere under increasing global temperature. This environmental change implies 161 a convectively more unstable troposphere which leads to more ACT (greater FRQ and INT). 162

In contrast, regionally increasing air pressure aloft (at a constant geopotential height) inhibits 163 ACT. Unlike in the lower troposphere where pressure is influenced by the air mass above, in the 164 middle and upper troposphere pressure is directly influenced by air temperature. The vertical pro-165 files of correlation show that geopotential height is strongly co-linear with higher SST implying a 166 cap on the destabilized troposphere. Since temperature is well stratified the air-pressure anomaly 167 over the middle and upper troposphere is also stratified leading to a decrease in the upward mass 168 flux (Sugi and Yoshimura 2012). If high pressure aloft is dominant then convection has limited 169 opportunity to transport energy from the lower troposphere leading to fewer TCs. Yet considering 170 the large moist static energy the limited opportunity implies stronger TCs for those that do form 171 (Kang and Elsner 2015). Therefore, as demonstrated by the large and significant correlation be-172 tween western North Pacific EINT and global temperature, we can conclude that the convectively 173

destabilized troposphere with large moist static energy in this region accompanied by a strong pressure anomaly aloft inhibits overall TC occurrences but TCs that do form are likely to obtain greater intensity (on average) resulting from the discharge of additional energy.

Figure 4 shows correlation maps of global ocean warmth with regional SST and regional geopotential height at 500 hPa, where partial correlation is computed at each grid point. Compared with Fig. 5 where ENSO variation is not removed, the regional SST is seen to be even more pronounced. Enhanced regional SST anomalies accompanying the global ocean warmth variation provides a better understanding of the anomalous high.

182 5. Time Series of Global Ocean Warmth and the 10 % Strongest Typhoons

As INT is the combination of ACT and EINT, EINT implies the portion of INT which is not 183 influenced by ACT. In other words, EINT represents the INT variation (the negative FRQ variation 184 at the same time) where an internal variation, ACT, is removed. Trends and annual fluctuations 185 over the 30-year period (1984–2013) confirm a tight connection between global ocean warmth 186 and EINT at this extreme portion (Fig. 6). The trend of standardized SST over the period is +2.8187 \pm 0.37 (s.e.) s.d./30 yr which compares with the trend in EINT of +2.0 \pm 0.52 (s.e.) s.d./30 188 yr. The correlation between the two series is +0.75 [0.53, 0.87] 95 % CI that reduces to +0.57189 [0.25, 0.77] 95 % CI after removing the linear trends. The results clarify that super typhoons are 190 likely to become stronger at the expense of overall TC frequencies in a warmer world regardless 191 of variations to ACT. The time series of global ocean warmth (red line) indicates how the TC 192 environments have evolved to enhance the intensity of super typhoons in the western North Pacific. 193 Standardized EINT values are averaged to be -0.12 and 0.75 (s.d.) for each of the last two decades, 194 confirming the recent TC climate feature of lowering frequency but stronger intensity. 195

6. Summary and Discussion

Among the TC climate variability directions, we find that EINT for the 10 % of the most intense 197 TCs is strongly influenced by global ocean warmth. The thermodynamic structure of the tropical 198 western North Pacific with high global ocean warmth is characterized by convectively more un-199 stable lower troposphere, but simultaneously prevailing high pressure anomaly in the middle and 200 upper troposphere. Increasing EINT in a warmer year shows that this environment further inhibits 201 the TC occurrences over the region, but TCs that form tend to discharge stored energy to the upper 202 troposphere with stronger intensities. As the increasing intensities compensate for the loss of ACT 203 by decreasing number of TCs, the ACT remains largely unchanged. As the strongest 10 % of the 204 TCs are on average comparable to super typhoons, the increasing EINT suggests clearly that super 205 typhoons in warmer years get stronger. The study is unique in that it does not use smoothing or 206 wavelet transforms. It explores directly the annual variation of TC climate with global SST, which 207 is a useful indicator of global ocean warmth. Thus, it is not necessary to assume an increasing 208 trend as the influence of global warming. In contrast to the classical way of trend analysis, here 209 global warming influences on the two best-tracks in JJASON are compared to find a reliable TC 210 climatology. In addition, this study clarifies the background thermodynamics by computing partial 211 correlations on the environmental variables. 212

Assuming the warming trend in the oceans continue, this study implies we will likely experience a year warmer than ever and thus a larger EINT. By definition a larger EINT means a larger gap between intensity and frequency with the consequence of record strong super typhoons at the expense of fewer overall TCs. Figure 7 summarizes the findings from a linear perspective. TC activity is demonstrated as a variation that is independent of global warming, and can be assumed to be internal variability having no trend. Frequency variation and super typhoon intensity

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variation are regarded as the addition of global warming influence on TC activity variation. The
structure depicts how a previous intensity record is overtaken while frequency falls in our global
warming environment. A year with record global ocean warmth is likely to experience a recordbreaking intensity even during a lull in TC activity.

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